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## AXS Investments Expands Distribution with Quartet of ETF, Mutual Fund & Alts Sales Veterans

*Firm's sales team growth accompanies milestone year in 2021*

**NEW YORK, January 11, 2022** – AXS Investments, a leading alternative investment manager, announced the addition of four industry veterans in alternative investment sales and distribution as part of the firm's continued growth and scale across all major U.S. distribution channels, including RIAs, wirehouses, regional and independent broker-dealers, and financial institutions.

Harold Clemons covers the Southeast Region as Senior Regional Director at AXS and joined the firm with over 20 years of successful sales experience. Mr. Clemons has a proven record across multiple sales channels and has built and scaled the RIA channel previously at three other fund companies. His experience spans ETFs, mutual funds, REITS, managed futures, commodities, private equity, MLPs and closed end funds.

Toby Herre covers the Mountain Region as Senior Regional Director at AXS. Leading with a consultative and education-centric approach, Mr. Herre maintains a deep network of long-term relationships with financial advisors and has increased production in the territory over many years of successful fund sales. His experience includes a tenure of driving ETF and mutual fund distribution through intermediaries across a range of channels.

Richard Carr covers the Southwest region as Senior Regional Director at AXS. His deep experience includes distribution of ETFs, mutual funds, and liquid alternative strategies across the largest U.S. retail distribution channels. Mr. Carr has a long history of consistent year-over-year sales growth and partners with financial advisors to develop strategies designed to help them grow the unique aspects of their individual practices.

Pierre Bégin covers the Northwest region as Senior Regional Director at AXS. Mr. Bégin has extensive wholesaling experience with asset management firms and fund companies, where he represented various fund strategies and investment vehicles, with a specialty in non-traditional and alternative strategies, to support financial intermediaries. Mr. Bégin's sales and distribution tenure spans across RIAs, wirehouses, regional broker-dealers and independent financial advisors.

"Harold, Toby, Rick and Pierre are longtime alternative investment sales professionals who bring unique experience in educating financial intermediaries about the value of alternative investing, which is core to our education-centric approach," said Greg Bassuk, CEO of AXS Investments. "In 2022, we are continuing to expand our team with additional sales veterans who have the experience and acumen to represent our growing suite of alternative and sustainable investment strategies."

### *Entry to ETF Space*

The distribution team expansion comes on the heels of strong growth for AXS Investments in 2021. The firm moved into the ETF space with the launch of the AXS Astoria Inflation Sensitive ETF (PPI), which offers investors among a broadly diversified set of asset classes to fight the pernicious effects of inflation, all in a single ETF. It is an actively managed fund that invests in a combination of commodities, TIPS and cyclical stocks that seek to benefit in an inflationary environment.

The PPI ETF represents the first in a robust lineup of ETFs that AXS is bringing to market in 2022. The firm continue to partner with high pedigreed investment managers whose strategies provide unique exposures for individual investors in liquid, transparent and easy-to-access fund structures, such as ETFs and mutual funds.

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### **About AXS Investments**

[AXS Investments](https://www.axsinvestments.com) is a leading alternative investment manager providing a diversified family of alternative investments for growth, income and diversification. The firm empowers investors to diversify their portfolios with investments previously available only to the largest institutional and high net worth investors. The investor-friendly AXS funds are time-tested, liquid, transparent and managed by high pedigreed portfolio managers with long and strong track records. For more information, visit [www.axsinvestments.com](https://www.axsinvestments.com).

There are risks involved with investing including the possible loss of principal. Past performance does not guarantee future results. ***Investors should carefully consider the investment objectives, risks, charges and expenses of any fund before investing. To obtain a prospectus containing this and other important information, please click [here](#) to view or download a prospectus online. Read the fund's prospectus carefully before you invest.***



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TIPS are Treasury Inflation-Protected Securities, a type of U.S. Treasury security whose principal value is indexed to the rate of inflation. ETFs are liquid in that they are exchange traded.

There is no guarantee the sectors or asset classes the advisor identifies will benefit from inflation. Fund may invest a larger portion of its assets in one or more sectors than many other funds, and thus will be more susceptible to negative events affecting those sectors.

**Equity Securities Risk:** Equity securities may be particularly sensitive to rising interest rates, as the cost of capital rises and borrowing costs increase. Equity securities may decline significantly in price over short or extended periods of time, and such declines may occur in the equity market as a whole, or in only a particular country, company, industry or sector of the market.

**Commodities Risk:** Commodity prices can have significant volatility, and exposure to commodities can cause the value of the Fund's shares to decline or fluctuate in a rapid and unpredictable manner. The values of commodities may be affected by changes in overall market movements, real or perceived inflationary trends, commodity index volatility, changes in interest rates or currency exchange rates, population growth and changing demographics, international economic, political and regulatory developments, and factors affecting a particular region, industry or commodity.

**Futures Contracts Risk:** The Fund expects that certain of the Underlying ETFs in which it invests will utilize futures contracts for its commodities investments. The risk of a position in a futures contract may be very large compared to the relatively low level of margin the Underlying ETF is required to deposit. In many cases, a relatively small price movement in a futures contract may result in immediate and substantial loss or gain to the investor relative to the size of a required margin deposit. The prices of futures contracts may not correlate perfectly with movements in the securities or index underlying them.

**TIPS Risk:** Principal payments for Treasury Inflation-Protection Securities are adjusted according to changes in the Consumer Price Index (CPI). While this may provide a hedge against inflation, the returns may be relatively lower than those of other securities.

Shares of ETFs are bought and sold at market price (not NAV) and are not individually redeemed from the ETF. Brokerage commissions will reduce returns. NAVs are calculated using prices as of 4:00 PM Eastern Time. The closing price is the midpoint between the bid and ask price as of the close of exchange. Closing price returns do not represent the returns you would receive if you traded shares at other times.

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