

AXS Named Finalist for Four "Wealthies" Asset Management Awards

The "Wealthies" celebrate innovation in asset and wealth management; firm's people and products are up for some of the most prestigious awards in the asset management category

NEW YORK, June 14, 2023 – <u>AXS Investments</u>, a leading asset manager providing access to alternative investments for growth, income and diversification, today announced that it has been named a Finalist for a record four awards in the prestigious <u>Wealth Management Awards</u> (the "Wealthies").

AXS is a finalist in the following categories:

- Alternatives AXS is being recognized for the breadth and depth of exposure the firm offers for investors focused
 on the "Innovation Trade." The AXS 2X Innovation ETF (TARK). AXS Short Innovation Daily ETF (SARK), AXS TSLA
 Bear Daily ETF (TSLQ) and AXS 1.25X NVDA Bear Daily ETF (NVDS) each provide investors with key tools to express
 their respective convictions, be they bullish or bearish, when it comes to the prospects for the disruptive innovation
 category.
- ETFs AXS is being recognized for the firm's bold entrance into the ETF marketplace, a stunning growth story that
 saw the firm end 2021 by launching its first ETF, the <u>AXS Astoria Inflation Sensitive ETF (PPI)</u>, and then in short order
 introducing a range of highly differentiated ETFs, including the <u>AXS 2X Innovation ETF (TARK)</u>, <u>AXS Change Finance
 ESG ETF (CHGX)</u>, and more, and launch a number of first-of-their-kind ETFs, including the <u>industry's first-ever family
 of single-stock ETFs</u>, which have quickly garnered more than \$250 million in assets since their recent launch.
- **New Product Development** AXS is being recognized as a finalist for most innovative new product development in the asset management industry.
- **CEO of the Year** Greg Bassuk, who has led an innovative and aggressive effort to build the AXS funds lineup and industry footprint through a combination of strategic acquisitions and in-house product development.

AXS is being recognized from a field of more than 1,000 entries across 92 categories and 413 companies. Winners will be chosen by an independent panel of judges and announced at the annual industry awards ceremony in New York City on September 7, 2023. Now in its ninth year, the Wealthies Industry Awards are a highly respected and exceedingly sought after mark of distinction for firms in both the asset and wealth management industries.

"We're thrilled to have been chosen as finalists in these four key categories," said Greg Bassuk, Chief Executive Officer of AXS Investments. "At this time last year, our ETF efforts were still in their nascent stages, so it makes us all very proud to be recognized not only for what we've built but for the innovation we've brought to the market. Access to unique exposures is what we're about and, whether that means access like the Innovation Trade, single-stock solutions for traders, first-of-its-kind inflation-fighting strategies, or other unique investment solutions, we are continuing to build on our successes with many more exciting new developments still to come."

###

About AXS Investments

AXS Investments is a leading alternative investment manager providing a diversified family of alternative investments for growth, income and diversification. The firm empowers investors to diversify their portfolios with investments previously available only to the largest institutional and high net worth investors. The investor-friendly AXS funds are time-tested, liquid, transparent and managed by high pedigreed portfolio managers with long and strong track records. For more information, visit www.axsinvestments.com.

IMPORTANT RISK INFORMATION

There are risks involved with investing including the possible loss of principal. Past performance does not guarantee future results.

AXS leveraged and inverse ETFs are not suitable for all investors. The Funds are designed to be utilized only by knowledgeable investors who understand the potential consequences of seeking daily leveraged and inverse leveraged investment results, understand the risks associated with the use of leverage and are willing to monitor their portfolios frequently. The Funds are not intended to be used by, and are not appropriate for, investors who do not intend to actively monitor and manage their portfolios. For periods longer than a single day, the Funds will lose money if the underlying stock's performance is flat, and it is possible that the Fund will lose money even if the underlying stock's performance decreases over a period longer than a single day. An investor could lose the full principal value of his/her investment within a single day.



Shares are bought and sold at market price (not NAV) and are not individually redeemed from the ETF. There can be no guarantee that an active trading market for ETF shares will develop or be maintained, or that their listing will continue or remain unchanged. Buying or selling ETF shares on an exchange may require the payment of brokerage commissions and frequent trading may incur brokerage costs that detract significantly from investment returns.

Investors should carefully consider the investment objectives, risks, charges and expenses of any AXS Funds before investing. To obtain a prospectus containing this and other important information, please click here to view or download a prospectus online or call 833.AXS.ALTS (833.297.2587). Read the fund's prospectus carefully before you invest.

Distributed by ALPS Distributors, Inc, which is not affiliated with AXS Investments. AXI000249