

AXS Investments Fund Distributions



As of 12/28/2023

2023 Capital Gains Distribution Notice

Investors who own shares on the record date below will receive the applicable capital gain distribution on the pay date shown. Be sure to discuss the implications of tax gains with your tax consultant and financial advisor before making investment decisions.

Mutual Funds

FUND	Ticker	Share class	Record Date	Ex- and Pay Date	Income	Short-Term Capital Gain	Long-Term Capital Gain
AXS Adaptive Plus Fund	AXSPX	I	12/14/2023	12/15/2023	\$0.13488	\$0.00	\$0.00
AXS Dynamic Opportunity Fund	ADOAX	A	12/27/2023	12/28/2023	\$0.18677	\$0.00	\$0.00
	ADOIX	I	12/27/2023	12/28/2023	\$0.23417	\$0.00	\$0.00
AXS Alternative Value Fund	COGLX	Investor	12/14/2023	12/15/2023	\$0.01448	\$0.00	\$0.00
	COGVX	Institutional	12/14/2023	12/15/2023	\$0.06073	\$0.00	\$0.00
AXS Merger Fund	GAKAX	Investor	12/14/2023	12/15/2023	\$0.08418	\$0.55636	\$0.00
	GAKIX	Institutional	12/14/2023	12/15/2023	\$0.11390	\$0.55636	\$0.00
AXS Chesapeake Strategy Fund	ECHAX	A	12/14/2023	12/15/2023	\$0.16815	\$0.00	\$0.00
	ECHCX	C	12/14/2023	12/15/2023	\$0.04861	\$0.00	\$0.00
	EQCHX	I	12/14/2023	12/15/2023	\$0.19975	\$0.00	\$0.00
AXS Income Opportunities Fund	OIOAX	A	12/26/2023	12/27/2023	\$0.26166	\$0.00	\$0.00
	OIODX	D	12/26/2023	12/27/2023	\$0.23456	\$0.00	\$0.00
	OIOIX	I	12/26/2023	12/27/2023	\$0.27106	\$0.00	\$0.00
AXS Market Neutral Fund	COGMX	Investor	12/14/2023	12/15/2023	\$0.11895	\$0.00	\$0.00
	COGIX	Institutional	12/14/2023	12/15/2023	\$0.16425	\$0.00	\$0.00
AXS Multi-Strategy Alternatives Fund	KCMTX	Investor	12/26/2023	12/27/2023	\$0.11369	\$0.00	\$0.00
	KCMIX	I	12/26/2023	12/27/2023	\$0.14313	\$0.00	\$0.00
AXS Sustainable Income Fund	AXSKX	I	12/14/2023	12/15/2023	\$0.082924	\$0.00	\$0.00
AXS Tactical Income Fund	TINAX	A	12/27/2023	12/28/2023	\$0.06296	\$0.00	\$0.00
	TINIX	I	12/27/2023	12/28/2023	\$0.08456	\$0.00	\$0.00
AXS Thomson Reuters Venture Capital Return Tracker Fund	LDVAX	A	12/14/2023	12/15/2023	\$0.00	\$0.00	\$0.00
	LDVCX	C	12/14/2023	12/15/2023	\$0.00	\$0.00	\$0.00
	LDVIX	I	12/14/2023	12/15/2023	\$0.00	\$0.00	\$0.00

ETFs

FUND	Ticker	Ex-Date	Record Date	Payable Date	Income	Short-Term Capital Gain	Long-Term Capital Gain
AXS 1.25X NVDA Bear Daily ETF	NVDS	12/26/2023	12/27/2023	12/29/2023	\$4.27173	\$0.00	\$0.00
AXS 2X Innovation ETF	TARK	12/26/2023	12/27/2023	12/29/2023	\$0.00	\$0.00	\$0.00
AXS Astoria Inflation Sensitive ETF	PPI	12/26/2023	12/27/2023	12/29/2023	\$0.13005	\$0.00	\$0.00
AXS Cannabis ETF	THCX	12/26/2023	12/27/2023	12/29/2023	\$0.48434	\$0.00	\$0.00
AXS Change Finance ESG ETF	CHGX	12/18/2023	12/19/2023	12/21/2023	\$0.31281	\$0.00	\$0.00
AXS Esoterica NextG Economy ETF	WUGI	12/26/2023	12/27/2023	12/29/2023	\$0.00	\$0.00	\$0.00
AXS First Priority CLO Bond ETF	AAA	12/28/2023	12/29/2023	1/2/2024	\$0.134068	\$0.00	\$0.00
AXS Green Alpha ETF (NXTE)	NXTE	12/26/2023	12/27/2023	12/29/2023	\$0.09022	\$0.00327	\$0.01000
AXS Short Innovation Daily ETF	SARK	12/26/2023	12/27/2023	12/29/2023	\$3.26440	\$0.00	\$0.00
AXS TSLA Bear Daily ETF	TSLQ	12/26/2023	12/27/2023	12/29/2023	\$3.73188	\$0.00	\$0.00
AXS Real Estate Income ETF	RINC	12/26/2023	12/27/2023	12/29/2023	\$0.20000	\$0.00	\$0.00